“Meta” Gap Mini-Review: KC Implementation at MSU

February 21, 2014
ORA Meta Gaps (IRB)

1. 21 CFR Part 11 compliance
2. Audit trail to permit electronic record maintenance and retention
3. Online meeting management and review capabilities
4. Online review forms and documentation forms (reviewer, staff)
5. Addition of consent form approval information in the footer
6. Batch correspondence in addition to renewal reminder and committee reminder (e.g. awaiting investigator response, awaiting reviewer response, automatic notification when assigned for review)
7. Electronic workflow routing and review
8. PI notification capabilities / ability to prompt IRB
9. Ability to notify PI and reviewer when actions are pending / need to prompt
10. Ability to track reportable events (e.g. serious or continuing noncompliance, UPIRSO, suspension or termination, complaints)
11. Integrated with OSP/CGA, COI, etc.
12. Ability to assign reviewers for a single transaction (not have to reassign)
OSP/CGA Meta Gaps

1. Tracking/reporting modifications on awards
VPF Input on Gap List (KFS, Rice)

• There are a few entries under the Award module related to KFS Account integration/generation that need to be addressed and further understood when Shyam Gedela and Steve Ueberroth begin KFS/KC integration discussions with the KC team.

• Reviewed with no concerns from a financial reporting/accounting standpoint. Related to the first item (R1:PRE, KC does not handle SI for fringe benefits. John Thelen discussed with Dan Evon about 6 months ago and compiled some “bands” that could be used. Since KC is the proposal/budget system, the thought would be to use the “bands” for developing the budget and use SI for actual charges. Dan felt that would be acceptable to Feds.

• Details need to be worked out with regard to interfaces and Rice (including IDM and OOI –unit hierarchy in KC vs the org hierarchy in OOI, additional data elements like ethnicity, bio etc. KC may want to load into KIM etc.).
VPF Input on Gap List (KFS, Rice)

- Additional comments:
  - R67:AWD - Lacks ability to encumber subaward balances – May result in a new interface (and may need to be included in the KC-KFS interface plan in the next fiscal)
  - R77:AWD - Need to be able to grab the next available account number in KFS to display and to create the KFS account doc – We don’t create the account number until the account document is approved. Like Steve said below we need to flesh this out a little more.
  - R92:AWD - Modify code so notes and attachments come forward with each update to the organization record – Just checking to make sure this does not require a Rice code change (should just be a simple data dictionary change)
  - Route to Complete functionality "hooked" into Kuali Rice by either the Foundation or by MSU – We contributed this functionality to Rice 2.1.7 and it will be in the shared Rice version we go live with for the KFS upgrade. So “either the Foundation or by MSU” should read “MSU.” - [https://jira.kuali.org/browse/KULRICE-6282](https://jira.kuali.org/browse/KULRICE-6282)
  - R115:IRB - Create KRMS rule associated with Questionnaire that will send an email or system notification to ORCBS as part of workflow based on response to questions. Recipient(s) could be allowed to view the entire protocol in KC if they were given access using the Permissions tab – Assuming this is leveraging standard KRMS functionality. If KRMS needs to be changed it will be a Rice code change.